

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>		Attorney Docket Number	23540-09582 (2004-167-2)
		First Named Inventor	Bing Guo
		COMPLETE IF KNOWN	
		Application Number	10/576,776
<input type="checkbox"/> Declaration Submitted With Initial Filing <span style="margin-left: 100px;"><b>OR</b></span> <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)		Filing Date	August 20, 2006
		Art Unit	Unknown
		Examiner Name	Unknown

**I hereby declare that:**

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Methods for Preparing and Functionalizing Nanoparticles**

*(Title of the Application)*

the specification of which

☐ is attached hereto

**OR**

☒ was filed on (MM/DD/YYYY) 08/20/2006 as United States Application Number or PCT International

Application Number 10/576,776 and was amended on (MM/DD/YYYY)                      (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

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Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
PCT/US2004/035295	WO	10/22/2004	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

# DECLARATION – Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number:

758

OR ☐ Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## NAME OF SOLE OR FIRST INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name  
(first and middle (if any))Family Name  
or Surname

Bing

Guo

Inventor's  
Signature

Date

11/7/2006

Residence: City

State

Country

Citizenship

~~Woodland~~ College Station CA TX

USA

China

Mailing Address

~~775 Laugenour Drive~~ 800 Fore Ct.

City

State

ZIP

Country

~~Woodland~~ College Station

CA TX

~~95778~~ 77845

USA

## NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventorGiven Name  
(first and middle (if any))Family Name  
or Surname

Ian M.

Kennedy

Inventor's  
Signature

Date

Residence: City

State

Country

Citizenship

Davis

CA

USA

USA

Mailing Address

2754 Eel Place

City

State

ZIP

Country

Davis

CA

95616

USA

☒ Additional inventors or a legal representative are being named on additional sheet(s)

<b>DECLARATION</b>		<b>ADDITIONAL INVENTOR(S)</b> <small>Supplemental Sheet</small>	
<b>NAME OF THIRD INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle [if any])		<u>Family Name</u> or <u>Surname</u>	
<b>Dosl</b>		<b>Dosev</b>	
Inventor's Signature		Date	
<u>Residence: City</u> <b>Davis</b>	<u>State</u> <b>CA</b>	<u>Country</u> <b>USA</b>	<u>Citizenship</u> <b>Bulgaria</b>
<u>Mailing Address</u> <b>1805 Anderson Road, #53</b>			
<u>City</u> <b>Davis</b>	<u>State</u> <b>CA</b>	<u>ZIP</u> <b>95616</b>	<u>Country</u> <b>USA</b>
<b>NAME OF FOURTH INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle [if any])		<u>Family Name</u> or <u>Surname</u>	
<b>Darlina</b>		<b>Melnikov</b>	
Inventor's Signature		Date	
<u>Residence: City</u> <b>Carmichael</b>	<u>State</u> <b>CA</b>	<u>Country</u> <b>USA</b>	<u>Citizenship</u> <b>USA</b>
<u>Mailing Address</u> <b>5929 Shirley Avenue</b>			
<u>City</u> <b>Carmichael</b>	<u>State</u> <b>CA</b>	<u>ZIP</u> <b>95608</b>	<u>Country</u> <b>USA</b>
<b>NAME OF FIFTH INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle [if any])		<u>Family Name</u> or <u>Surname</u>	
<b>Mikaela</b>		<b>Nichkova</b>	
Inventor's Signature		Date	
<u>Residence: City</u> <b>Davis</b>	<u>State</u> <b>CA</b>	<u>Country</u> <b>USA</b>	<u>Citizenship</u> <b>Bulgaria</b>
<u>Mailing Address</u> <b>1050 Lake Blvd, #32</b>			
<u>City</u> <b>Davis</b>	<u>State</u> <b>CA</b>	<u>ZIP</u> <b>95616</b>	<u>Country</u> <b>USA</b>
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Name			
Address			
City		State	ZIP
Country	Telephone	Fax	
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NAME OF SOLE OR FIRST INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])  Bing		Family Name or Surname  Guo	
Inventor's Signature			Date
Residence: City	State	Country	Citizenship
Woodland	CA	USA	China
Mailing Address			
776 Laugenour Drive			
City	State	ZIP	Country
Woodland	CA	95776	USA
NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])  Ian M.		Family Name or Surname  Kennedy	
Inventor's Signature			Date
			10/17/06
Residence: City	State	Country	Citizenship
Davis	CA	USA	USA
Mailing Address			
2754 Eel Place			
City	State	ZIP	Country
Davis	CA	95616	USA
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on additional sheet(s)			

<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet
--------------------	---

<b>NAME OF THIRD INVENTOR:</b>	<input type="checkbox"/> A petition has been filed for this unsigned inventor		
<u>Given Name</u> (first and middle [if any]) <b>Dosi</b>	<u>Family Name or Surname</u> <b>Dosev</b>		
Inventor's Signature			Date
<u>Residence: City</u> <b>Davis</b>	<u>State</u> <b>CA</b>	<u>Country</u> <b>USA</b>	<u>Citizenship</u> <b>Bulgaria</b>
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<b>NAME OF FOURTH INVENTOR:</b>	<input type="checkbox"/> A petition has been filed for this unsigned inventor		
<u>Given Name</u> (first and middle [if any]) <b>Darina</b>	<u>Family Name or Surname</u> <b>Melnikov</b>		
Inventor's Signature			Date
<u>Residence: City</u> <b>Carmichael</b>	<u>State</u> <b>CA</b>	<u>Country</u> <b>USA</b>	<u>Citizenship</u> <b>USA</b>
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<b>NAME OF FIFTH INVENTOR:</b>	<input type="checkbox"/> A petition has been filed for this unsigned inventor		
<u>Given Name</u> (first and middle [if any]) <b>Mikaela</b>	<u>Family Name or Surname</u> <b>Nichkova</b>		
Inventor's Signature			Date
<u>Residence: City</u> <b>Davis</b>	<u>State</u> <b>CA</b>	<u>Country</u> <b>USA</b>	<u>Citizenship</u> <b>Bulgaria</b>
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Given Name (first and middle [if any])  Bing		Family Name or Surname  Guo	
Inventor's Signature			Date
Residence: City	State	Country	Citizenship
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Mailing Address			
776 Laugenour Drive			
City	State	ZIP	Country
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NAME OF SECOND INVENTOR:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
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<u>Given Name</u> (first and middle [if any]) <b>Dosi</b>		<u>Family Name or Surname</u> <b>Dosev</b>	
Inventor's Signature 		Date <b>11/20/2006</b>	
<u>Residence: City</u> <b>Davis</b>	<u>State</u> <b>CA</b>	<u>Country</u> <b>USA</b>	<u>Citizenship</u> <b>Bulgaria</b>
<u>Mailing Address</u> <b>1650 Colusa Avenue</b>			
<u>City</u> <b>Davis</b>	<u>State</u> <b>CA</b>	<u>ZIP</u> <b>95616</b>	<u>Country</u> <b>USA</b>
<b>NAME OF FOURTH INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle [if any]) <b>Darina</b>		<u>Family Name or Surname</u> <b>Melnikov</b>	
Inventor's Signature 		Date <b>11/20/2006</b>	
<u>Residence: City</u> <b>Carmichael</b>	<u>State</u> <b>CA</b>	<u>Country</u> <b>USA</b>	<u>Citizenship</u> <b>USA</b>
<u>Mailing Address</u> <b>5929 Shirley Avenue</b>			
<u>City</u> <b>Carmichael</b>	<u>State</u> <b>CA</b>	<u>ZIP</u> <b>95608</b>	<u>Country</u> <b>USA</b>
<b>NAME OF FIFTH INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle [if any]) <b>Mikaela</b>		<u>Family Name or Surname</u> <b>Nichkova</b>	
Inventor's Signature 		Date <b>11/20/2006</b>	
<u>Residence: City</u> <b>Davis</b>	<u>State</u> <b>CA</b>	<u>Country</u> <b>USA</b>	<u>Citizenship</u> <b>Bulgaria</b>
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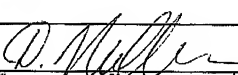
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PCT/US2004/035295	WO	10/22/2004	<input type="checkbox"/>	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>
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<b>NAME OF THIRD INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle (if any)) <b>Dosl</b>		<u>Family Name or Surname</u> <b>Dosev</b>	
Inventor's Signature		Date	
<u>Residence: City</u> <b>Davis</b>	<u>State</u> <b>CA</b>	<u>Country</u> <b>USA</b>	<u>Citizenship</u> <b>Bulgaria</b>
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<u>City</u> <b>Davis</b>	<u>State</u> <b>CA</b>	<u>ZIP</u> <b>95616</b>	<u>Country</u> <b>USA</b>
<b>NAME OF FOURTH INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
<u>Given Name</u> (first and middle (if any)) <b>Darina</b>		<u>Family Name or Surname</u> <b>Melnikov</b>	
Inventor's Signature 		Date <b>1/11/2007</b>	
<u>Residence: City</u> <b>Carmichael</b>	<u>State</u> <b>CA</b>	<u>Country</u> <b>USA</b>	<u>Citizenship</u> <b>USA</b>
<u>Mailing Address</u> <b>5929 Shirley Avenue</b>			
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Inventor's Signature		Date	
<u>Residence: City</u> <b>Davis</b>	<u>State</u> <b>CA</b>	<u>Country</u> <b>USA</b>	<u>Citizenship</u> <b>Bulgaria</b>
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